



ILM LEVEL 5 CERTIFICATE IN COACHING AND MENTORING IN MANAGEMENT



Circulation of Qualification Specifications

These Qualification Specifications are provided to centres that are approved by the Institute of Leadership and Management (ILM) to offer these qualifications. They should not be circulated outside of the centre. There are specific sections that are designed to be copied to candidates eg Assessment Notes for Candidates. It may also be useful to make available the Programme Outline and Unit Specifications. This information should be extracted from the Qualification Specifications rather than providing candidates with the complete document.

Institute of Leadership & Management (ILM)

Every effort has been made to ensure that the information contained in this publication is true and correct at the time of publication. ILM products and services are subject to continuous development and improvement and the right is reserved to change products and services from time to time. ILM cannot accept liability for loss or damage arising from the use of information in this publication.

© The Institute of Leadership and Management (ILM) 2011

ILM is part of the City & Guilds Group and is a company limited by guarantee (Reg No 601049) and registered as a charity in England and Wales (Reg No 248226) and in Scotland (Reg No SC039693)

Contact ILM www.i-l-m.com

The ILM Customer Service and Membership Teams are dedicated to providing the very best in customer care. If you need guidance on any aspect of leadership and management products and services, including fees, please contact ILM.

ILM Centres

- T +44 (0)1543 266867
- F +44 (0)1543 266893
- E customer@i-l-m.com

ILM Membership

- T +44 (0)1543 266886
- F +44 (0)1543 266811
- E membership@i-l-m.com



ILM LEVEL 5 CERTIFICATE IN COACHING AND MENTORING IN MANAGEMENT (QCF)

[Qualification No. - 500/3564/2]

Note: This qualification specification should always be read in conjunction with the "Supporting Notes for ILM VRQs" document which is downloadable from ILM website or it can be accessed via your Quality Manager/External Verifier or from the ILM Customer Services Team by emailing at <u>customer@i-l-m.com</u>

ILM Level 5 Certificate in Coaching and Mentoring in Management Qualification Specification	© Institute of Leadership & Management	Version: October 2011
--	--	-----------------------

Table of Contents

Qualifications Overview	3
Structure of Level 5 Certificate in Coaching & Mentoring in Management	3
Progression Route	4
List of Level 5 Coaching & Mentoring units	4
Occupational Competency Requirements	5
Assessment Guidance	6
APPENDICES	
Appendix A – Unit Specifications	7
Appendix B – Assessments	15

QUALIFICATION OVERVIEW:

	Certificate
Credit value	Minimum 13 credits
Duration	Minimum 45 Glh
To be completed within	Three years
Induction	One hour
Tutorial support	At least 2 hours
Structure	• Three mandatory units with a combined credit value of 13

STRUCTURE OF LEVEL 5 CERTIFICATE IN COACHING AND MENTORING IN MANAGEMENT

Qualification	Credit Value	Unit Details	Credit Value
		D5.01 Understanding how management coaching and mentoring can benefit individuals and organisations	5
Certificate	13	D5.02 Reviewing own ability as a management coach or mentor	3
		D5.03 Undertaking management coaching or mentoring in the workplace	5
		TOTAL	13

ILM Level 5 Certificate in Coaching and Mentoring in	© Institute of Leadership & Management	Version: October
Management Qualification Specification	e mettate el Leaderemp a management	2011

PROGRESSION ROUTE

Learners may progress to qualifications leading to various learning and development qualifications in training, assessment and verification, or to management development qualifications at higher levels.

Learners who have completed the ILM Level 5 Certificate in Coaching and Mentoring in Management may wish to progress to the ILM Level 5 Diploma for Professional Management Coaches and Mentors.

LIST OF LEVEL 5 COACHING AND MENTORING UNITS

Ref	Unit Title	Indicative Glh	Credit Value	Certificate
D5.01	Understanding how management coaching and mentoring can benefit individuals and organisations	18	5	М
D5.02	Reviewing own ability as a management coach or mentor	12	3	М
D5.03	Undertaking management coaching or mentoring in the workplace	12	5	М

ILM Level 5 Certificate in Coaching and Mentoring in	© Institute of Leadership & Management	Version: October
Management Qualification Specification	Institute of Leavership & Management	2011

OCCUPATIONAL COMPETENCY REQUIREMENTS FOR THE LEVEL 5 CERTIFICATE IN COACHING AND MENTORING IN MANAGEMENT

It is the centres responsibility to ensure that they have competent and suitably qualified staff involved in delivering, quality assuring and/or assessing qualifications.

A centre should normally be approved to offer the ILM Level 3 qualifications in First Line Management (to demonstrate appropriate competence in management development) and preferably the Level 5 qualifications in Management or equivalent. Centres not approved to offer the appropriate management qualifications will need to demonstrate that they meet the occupational expertise standards for those qualifications.

The table below shows the occupational competence requirements of tutors, internal quality assurors and/or assessors. Given that occupational competence requirements will vary greatly between lower and higher level qualifications, this table will highlight if there is an additional requirement of any qualification specific occupational competency.

Tutors Occupational Competence Requirements	Evidence Indicators	
A thorough knowledge and understanding of the qualification(s).	 Have a relevant qualification in the subject area that must be at equal or higher level or have an equivalent qualification. A Degree or equivalent level qualifications including communication, psychology or related discipline is highly desirable Show evidence of information or documents prepared for learners such as a learner journey plan (SoW), lesson plan, learner guidance notes, tutorial support plan etc. for the ILM qualification. Show evidence of participation in Continuing Professional Development (CPD) in relation to the relevant field and qualification requirements. 	
Relevant and credible experience in the field of the relevant qualification.	 Be able to prove that they have current experience of delivering training appropriate to the level and subject area of this qualification 	
A qualification in support of training/teaching	Ideally hold a valid and recognised teaching/training qualification.	

Internal Quality Assurors and/or Centre Assessors Occupational Competence Requirements	Evidence Indicators
A thorough knowledge and understanding of the relevant qualification(s).	 Have a relevant qualification in the subject area that must be at equal or higher level or have an equivalent qualification. Show evidence of carrying out CPD in order to familiarise themselves with current standards for assessment/verification in the subject area of this qualification.
Relevant and credible experience in the field of the relevant qualification.	 Demonstrate clear evidence of current experience in quality assurance and/or assessment appropriate to the level and subject area of this qualification
A qualification in support of assessment and/or internal quality assurance.	 Ideally hold an assessment qualification (e.g. TAQA or equivalent)
Experience and a working knowledge of the operational and assessment processes for the relevant qualification.	 Demonstrate an understanding of the organisation's management centric policies, procedures and practices. Demonstrate knowledge and understanding of ILM's quality assurance policy, procedures and requirements.

ILM Level 5 Certificate in Coaching and Mentoring in	© Institute of Leadership & Management	Version: October
Management Qualification Specification		2011

ASSESSMENT GUIDANCE REQUIREMENTS FOR THE LEVEL 5 CERTIFICATE IN COACHING AND MENTORING IN MANAGEMENT

A brief introduction on ILM's Assessment Strategy can be found in Supporting notes for ILM VRQs. For detailed information, centres are encouraged to refer to the various assessment guides that are available from the ILM Customer Services Team at <u>customer@i-l-m.com</u> or refer to the Centre Manual (<u>www.i-l-m.com/centres.aspx</u>). This segment gives you specific guidance around assessments for the Level 5 Certificate in Coaching and Mentoring in Management.

Appendix B in this document outlines the assessments and mark sheets for the units in this qualification. Centres should use the prescribed assessments. However some flexibility is permitted. In exceptional circumstances and to meet a specific need a centre may deviate from the prescribed assessment subject to prior written approval from ILM. Equal opportunities issues are relevant to all units of study and these aspects should be explicitly addressed in the delivery and assessment of this programme. The table below gives a brief overview of the units and assessment(s):

Units	Assessment
M5.29 Assessing your own leadership capability and performance	Reflective Review
M5.30 Improving own leadership potential through action learning	Leadership Development Plan and Reflective Learning Log

Learners are likely to come from a variety of backgrounds, in that they will have had different training and work experiences, differing ambitions and opportunities, centres therefore can encourage learners to select topics for assessment in their own organisation and/or area of work, (or within another organisation if they are currently unemployed or self-employed). They should ensure learners are able to present their work as simple and clearly as possible. An approximate word count is given for each assessment. This should only be seen as a guide to help achieve a balanced piece of work.

Centres must ensure that learners adequately complete all sections of the assessment. To ensure all learning outcomes are assessed, section passes have been provided in the assessments. To assist this practice, ILM normally applies a pass mark of 50% in each section as reflecting a minimum pass. Centres <u>must</u> note that compensation between learning outcomes is not allowed in any QCF unit.

ILM Level 5 Certificate in Coaching and Mentoring in	© Institute of Leadership & Management	Version: October
Management Qualification Specification	Institute of Leadership & Management	2011

APPENDIX-A

UNIT SPECIFICATIONS FOR THE LEVEL 5 CERTIFICATE IN COACHING AND MENTORING IN MANAGEMENT

ILM Level 5 Certificate in Coaching and Mentoring in	© Institute of Leadership & Management	Version: October
Management Qualification Specification	Institute of Leadership & Management	2011

Title:	Understanding how management coaching and mentoring can benefit individuals and organisations (D5.01)			
Level: 5				
Credit value:	5			
Learning outcomes (the learn	ner <u>will</u>)	Asse	essment criteria (the learner <u>can</u>)	
 Explain the characteristics coaches and mentors, and and mentoring programme 	coaching	 1.1 1.2 1.3 1.4 	Identify the characteristics of effective coaching and mentoring arrangements and plans Explain the importance of respecting others' ethical and moral views, beliefs attitudes and values, challenging inappropriate language or behaviour and not abusing own power and authority in a coaching or mentoring relationship Explain the importance of creating the necessary, safe and confidential conditions for engaging in effective coaching or mentoring and of agreeing the expectations, processes and boundaries of a coaching or mentoring programme with all stakeholders Explain why coaches and mentors should develop effective communication skills and be able to analyse communication to identify meaning	
2 Present a business case for using coaching or mentoring in own organisation		2.12.22.32.4	Assess the contribution that management coaching or mentoring can make to individuals and the organisation, and its role in relation to alternative developmental and support strategies Identify potential organisational barriers to using coaching or mentoring and develop appropriate strategies for overcoming them Present a business case for using coaching or mentoring to address specific developmental and support needs in the organisation Suggest how the effectiveness of the proposed coaching or mentoring programme can be evaluated	
Additional information about	the unit			
Unit purpose and aim(s)		and c indivi	nable candidates to understand the role contribution of coaching and mentoring to duals and organisations and make a less case for using management	
A Level 5 Certificate in Coaching and M nagement Qualification Specification	entoring in		© Institute of Leadership & Management	

Unit review date	31/12/2014
Details of the relationship between the unit and relevant national occupational standards or professional standards or curricula (if appropriate)	Links to ENTO 2006 NOS (Coaching & Mentoring in a Work Environment): CM1, CM15, CM22
Assessment requirements or guidance specified by a sector or regulatory body (if appropriate)	
Support for the unit from a sector skills council or other appropriate body (if required)	ENTO/ TAQA
Location of the unit within the subject/sector classification system	Business Management
Name of the organisation submitting the unit	Institute of Leadership & Management
Availability for use	Restricted to ILM
Units available from 01/01/2008	
Unit guided learning hours	18
Additional Guidance about the Unit	·
Indicative Content:	
 Different perspectives on mentoring and coaching Different models of learning styles Models of coaching and mentoring able to contribute to performance improvement (examples of models that may be used include: Performance coaching/life coaching, GROW Model, Argyris's double loop learning, Kolb's learning cycle, Gardner's Multiple Intelligences, Myers-Briggs, hemispherical dominance, transformational learning, Johari's window, NLP, and other psycho-social models) Alternative strategies for developing and supporting employees, including different training strategies (long and short courses, in-house and external, distance/flexible/e-learning, etc) Overview of therapy and counselling, differentiating between them and coaching and mentoring and identifying the boundaries Range of learning and counselling services available Legal aspects of coaching and mentoring (H&S, Equal Opportunities, Disability, etc) and ethical issues (abuse of power and authority, personal intimacy and sexual harassment) Concepts of power and authority (personal/positional, zero-sum, etc), and power dynamics (especially power relationship between self and client) Cultural issues working with a diverse workforce (gender stereotyping, race, religion and sexuality, etc) Personal beliefs and values, their source and effect on attitudes and behaviours Behavioural traits, their drivers and effect on others (e.g. nature/nurture debate on sex/gender, and differences in cognition and behaviour) Communication theories (e.g. discourse analysis theories, overview of socio- linguistics) 	

	 Relationship characteristics and contrasts between coaching and mentoring (judgemental/non-judgemental, transactional analysis, etc) Distinguishing the appropriate physical environments for mentoring and coaching, especially the need for confidentiality
2	 Costs and benefits of coaching and mentoring – financial and personal/social/emotional Range of formal and informal learning opportunities, their costs and benefits and utility in developing particular knowledge and skills Corporate objectives and the contribution of coaching and mentoring to their achievement Organisational and operational barriers (time, resources, attitudes, values, ownership, etc) and strategies for overcoming these barriers Techniques for programme monitoring, review and evaluation

ILM Level 5 Certificate in Coaching and Mentoring in	© Institute of Leadership & Management	Version: October
Management Qualification Specification	Institute of Leadership & Management	2011

Title:	Reviewing own ability as a management coach or mentor (D5.02)	
Level:	5	
Credit value:	12	
Learning outcomes (the lear	ner <u>will</u>)	Assessment criteria (the learner can)
 Review the effect of own v systems and ability to deal performance problems on coaching and mentoring be 	with own	 1.1 Review own ethical and moral views, beliefs, attitudes and values and their effect on own coaching and mentoring practice 1.2 Review own ability to address poor or inappropriate attitudes, behaviours and workplace relationships and its effect on own coaching and mentoring practice
2 Review own ability to communicate effectively and to use available networks to support coaching and mentoring practice		 2.1 Review own ability to communicate effectively when coaching or mentoring others 2.2 Review the effectiveness of own networks in supporting coaching and mentoring practice
Additional information about	t the unit	
Unit purpose and aim(s)		To enable managers to review their ability to perform effectively as management coaches or mentors.
Unit review date		31/12/2014
Details of the relationship betw and relevant national occupation standards or professional stan curricula (if appropriate)	onal	Links to ENTO 2006 NOS (Coaching & Mentoring in a Work Environment): CM12, CM16
Assessment requirements or g specified by a sector or regulat appropriate)		
Support for the unit from a sec council or other appropriate bo required)		ENTO/ TAQA
Location of the unit within the subject/sector classification sy	stem	Business Management
Name of the organisation subnunit	nitting the	Institute of Leadership & Management
Availability for use		Restricted to ILM

ILM Level 5 Certificate in Coaching and Mentoring in	© Institute of Leadership & Management	Version: October
Management Qualification Specification		2011

PAGE	12

Un	its available from	01/01/2008
Un	it guided learning hours	12
Ad	ditional Guidance about the Unit	
Inc	licative Content:	
1	 Non-combative challenging strategies to alter inappropriate attitudes and behaviour Recognition of client needs beyond coach's competence (personal social or psychological problems, learning difficulties or disabilities) and available support services Negotiation strategies and techniques Factors determining workplace relationships – organisational, task and personal – and their impact on work performance and the coaching or mentoring process Strategies and techniques for addressing and improving poor personal relationships in the workplace 	
2	 Verbal and non-verbal communication skills (questioning, listening, analysis of non-verbal communication, reflection and summarising skills), in person, by telephone and by email Nature and value of networks, analysis of personal networks and development of networking skills Managing self and time to build and sustain networks 	

ILM Level 5 Certificate in Coaching and Mentoring in Management Qualification Specification	© Institute of Leadership & Management	Version: October 2011

or mentoring programme based on identified developmental needs and goalsand agree goals, in line with organisational and/or team goals2Plan, deliver and review own management coaching or mentoring practice2.1Plan individual coaching or mentoring sessions and develop and prepare materials and resources to support these sessions, where appropriate 2.23Improve own management coaching or mentoring practice to through reflection and review3.1Collect feedback on own coaching or mentoring practice3Improve own management coaching or mentoring practice to through reflection and review3.1Collect feedback on own coaching or mentoring practice3Improve own management coaching or mentoring practice3.1Collect feedback on own coaching or mentoring practice4Additional information about the unit and review3.1Collect feedback on own coaching or mentoring practice4Mittional information about the unit and review own coaching or mentoring or mentoring practice3.3Identify own development needs and plan and take action to improve own coaching or mentoring practice4Unit purpose and aim(s)To enable candidates to demonstrate their ability to undertake a workplace management coaching or mentoring in a Work Environment): CM1, CM1, CM12, CM16, CM22, CM194Support for the unit from a sector skillsENTO/ TAQA	Title:	Undertaking management coaching or mentoring in the workplace (D5.03)	
Learning outcomes (the learner will) Assessment criteria (the learner can) 1 Agree a short management coaching or mentoring programme based on identified developmental needs and goals 1.1 Identify individual developmental need and agree goals, in line with organisational, divisional and/or team goals 2 Plan, deliver and review own management coaching or mentoring programme in line with thes goals 2.1 Plan individual coaching or mentoring practice 2. Plan, deliver and review own management coaching or mentoring pressions and develop and prepare materials and resources to support these sessions, where appropriate 2.2 Deliver coaching or mentoring session for at least 12 hours and maintain appropriate records 3. Improve own management coaching or mentoring practice 3.1 Collect feedback on own coaching or mentoring practice 3. Improve own management coaching or mentoring practice 3.1 Collect feedback on own coaching or mentoring practice 3. Improve own management coaching or mentoring practice 3.2 Review individual's progress towards their agreed goals 3. Improve own management coaching or mentoring practice 3.2 Reliect on and review own coaching or mentoring practice 3.1 Improve own management coaching or mentoring practice 3.2 Reflect on and review own coaching or mentoring practice 3.1 Improve own m	Level:	5	
1 Agree a short management coaching or mentoring programme based on identified developmental needs and goals 1.1 Identify individual developmental need and agree goals, in line with organisational, divisional and/or team goals 2 Plan, deliver and review own management coaching or mentoring programme in line with thes goals 2.1 Plan individual coaching or mentoring practice 2. Plan, deliver and review own management coaching or mentoring practice 2.1 Plan individual coaching or mentoring sessions, where appropriate resources to support these sessions, where appropriate session for at least 12 hours and maintain appropriate records 2.3 Improve own management coaching or mentoring practice 3.1 Collect feedback on own coaching or mentoring practice 3 Improve own management coaching or mentoring practice 3.1 Collect feedback on own coaching or mentoring practice 3.3 Improve own management coaching or mentoring practice 3.1 Collect feedback on own coaching or mentoring practice 3.4 Identify own development needs and plan and take action to improve own coaching or mentoring practice 3.1 4dditional information about the unit and relevant national occupational standards or professional standards or curricula (if appropriate) To enable candidates to demonstrate their ability to undertake a workplace management coaching or mentoring programme. Unit review date 31/12/2014 Links to ENTO 2	Credit value:	5	
or mentoring programme based on identified developmental needs and goals and agree goals, in line with organisational, divisional and/or team goals 2 Plan, deliver and review own management coaching or mentoring practice 2.1 Plan individual coaching or mentoring practice 2.1 Plan, deliver and review own management coaching or mentoring practice 2.1 Plan individual coaching or mentoring practice 3 Improve own management coaching or mentoring practice to through reflection and review own coaching or mentoring practice 3.1 Collect feedback on own coaching or mentoring practice 3 Improve own management coaching or mentoring practice 3.1 Collect feedback on own coaching or mentoring practice 3.3 Improve own management coaching or mentoring practice 3.3 Identify own development needs and plan and take action to improve own coaching or mentoring practice 3.3 Improve and aim(s) To enable candidates to demonstrate their ability to undertake a workplace managemen coaching or mentoring practice 4dditional information about the unit and relevant national scupational standards or professional standards or curricula (if appropriate) 31/12/2014 Links to ENTO 2006 NOS (Coaching & Mentoring in a Work Environment): CM1, CM12, CM16, CM22, CM19 CM1, CM12, CM16, CM22, CM19 Support for the unit from a sector skills ENTO/ TAQA	Learning outcomes (the	ner <u>will</u>)	Assessment criteria (the learner can)
management coaching or mentoring practicesessions and develop and prepare materials and resources to support these sessions, where appropriate 2.2 Deliver coaching or mentoring session for at least 12 hours and maintain appropriate records 2.3 Review individuals' progress towards their agreed goals3Improve own management coaching or mentoring practice to through reflection and review3.1 Collect feedback on own coaching or mentoring practice 3.2 Reflect on and review own coaching or mentoring practice4Additional information about the unit3.1 Collect feedback on own coaching or mentoring practice4Unit purpose and aim(s)To enable candidates to demonstrate their ability to undertake a workplace management coaching or mentoring programme.Unit review date31/12/2014Details of the relationship between the unit and relevant national occupational standards or professional standards or curricula (if appropriate)Links to ENTO 2006 NOS (Coaching & Mentoring in a Work Environment): CM1, CM11, CM12, CM16, CM22, CM19Support for the unit from a sector skillsENTO/ TAQA	or mentoring programme based on identified developmental needs and		and agree goals, in line with organisational, divisional and/or team goals 1.2 Design and agree a short coaching or mentoring programme in line with these
mentoring practice to through reflection and reviewmentoring practice3.2Reflect on and review own coaching o mentoring practice3.3Identify own development needs and plan and take action to improve own coaching or mentoring practiceAdditional information about the unitIdentify own development needs and plan and take action to improve own coaching or mentoring practiceUnit purpose and aim(s)To enable candidates to demonstrate their ability to undertake a workplace management coaching or mentoring programme.Unit review date31/12/2014Details of the relationship between the unit and relevant national occupational standards or professional standards or curricula (if appropriate)Links to ENTO 2006 NOS (Coaching & Mentoring in a Work Environment): CM1, 	management coaching or I		 sessions and develop and prepare materials and resources to support these sessions, where appropriate 2.2 Deliver coaching or mentoring sessions for at least 12 hours and maintain appropriate records 2.3 Review individuals' progress towards
Unit purpose and aim(s)To enable candidates to demonstrate their ability to undertake a workplace managemen coaching or mentoring programme.Unit review date31/12/2014Details of the relationship between the unit and relevant national occupational standards or professional standards or curricula (if appropriate)Links to ENTO 2006 NOS (Coaching & Mentoring in a Work Environment): CM1, CM11, CM12, CM16, CM22, CM19Assessment requirements or guidance specified by a sector or regulatory body (if appropriate)ENTO/ TAQA	mentoring practice to throu		 mentoring practice 3.2 Reflect on and review own coaching or mentoring practice 3.3 Identify own development needs and plan and take action to improve own
ability to undertake a workplace management coaching or mentoring programme.Unit review date31/12/2014Details of the relationship between the unit and relevant national occupational standards or professional standards or curricula (if appropriate)Links to ENTO 2006 NOS (Coaching & Mentoring in a Work Environment): CM1, CM11, CM12, CM16, CM22, CM19Assessment requirements or guidance 	Additional information about the unit		
Details of the relationship between the unit and relevant national occupational standards or professional standards or curricula (if appropriate)Links to ENTO 2006 NOS (Coaching & Mentoring in a Work Environment): CM1, CM11, CM12, CM16, CM22, CM19Assessment requirements or guidance specified by a sector or regulatory body (if appropriate)ENTO/ TAQA	Unit purpose and aim(s)		ability to undertake a workplace management
and relevant national occupational standards or professional standards or curricula (if appropriate)Mentoring in a Work Environment): CM1, CM11, CM12, CM16, CM22, CM19Assessment requirements or guidance specified by a sector or regulatory body (if appropriate)ENTO/ TAQA	Unit review date		31/12/2014
specified by a sector or regulatory body (if appropriate) Support for the unit from a sector skills ENTO/ TAQA	and relevant national occupation standards or professional stand	onal	Mentoring in a Work Environment): CM1,
	specified by a sector or regulat		
council or other appropriate body (If	Support for the unit from a sec council or other appropriate bo		ENTO/ TAQA

rec	uired)			
Location of the unit within the subject/sector classification system		Business Management		
Na uni	me of the organisation submitting the t	Institute of Leadership & Management		
Ava	ailability for use	Restricted to ILM		
Units available from		01/01/2007		
Un	it guided learning hours	12		
Ad	ditional Guidance about the Unit			
Inc	licative Content:			
1	 Techniques for assessing the learning and development of a client Learning and development outcomes – behavioural, cognitive and emotional Learning styles and personality characteristics and their assessment – range of learning and personality inventories for individuals and teams available (eg. Honey and Mumford, Myers Briggs, 16PF, Belbin) – their use and analysis Learning and development strategies appropriate to different learning and personality styles 			
2	 Characteristics of effective learning plans Criteria for developing coherent and logical order for learning and development Recording and monitoring coaching or mentoring activities Strategies for coaching or mentoring Planning principles and practices for coaching or mentoring sessions Methodologies and criteria for analysing materials and information supporting coaching or mentoring Action planning and review 			
3	 Strategies and practices for building effective relationships Behaviours to encourage openness, honesty, and trust and their significance in mentoring and coaching Reflection and social interaction as strategies for self-knowledge and improvement – concepts of the reflective practitioner, theory and behaviour Use and completion of personal reflection log 			

APPENDIX-B

ASSESSMENTS FOR THE LEVEL 5 CERTIFICATE IN COACHING AND MENTORING IN MANAGEMENT

ILM Level 5 Certificate in Coaching and Mentoring in	© Institute of Leadership & Management	Version: October
Management Qualification Specification		2011

WORK-BASED ASSIGNMENT: D5.01

Centre Number: Co	ntre Name:		
Learner Registration No:	arner Name:		
TASK Prepare a proposal for a management coaching or me ensure best practice in your coaching or mentoring pr The case can be either "forward looking" or "backward Please use the headings shown below when writing up your assessment	actice		
Context of the assignment			
Provide a brief outline of your organisation, your role and the role of the person/people being coached and/or mentored to give a context to this assignment (<i>min 2 marks required from 4 available</i>)	The context is provided		
Ensuring best practice in coaching or mentoring practice	The characteristics of effective		
Identify the characteristics of effective coaching and mentoring arrangements and plans	 coaching and mentoring arrangements and plans are identified The importance of respecting others' 		
Explain why it is important for mentors or coaches to respect others' ethical and moral views, beliefs, attitudes and values, challenge inappropriate language or behaviour and not abuse their own power and authority in a coaching or mentoring relationship	ethical and moral views, beliefs, attitudes and values, challenging inappropriate language or behaviour and not abusing own power and authority in a coaching or mentoring relationship is explained		
Explain what needs to be done to ensure the necessary, safe and confidential conditions for engaging in effective coaching or mentoring and to agree the expectations, processes and boundaries of a coaching or mentoring programme with all stakeholders	• The importance of creating the necessary, safe and confidential conditions for engaging in effective coaching or mentoring and of agreeing the expectations, processes and boundaries of a coaching or mentoring programme with all stakeholders is		
 Explain why coaches and mentors need: to have effective communication skills to be able to analyse communication to identify meaning 	 explained Why coaches and mentors should develop effective communication skills and be able to analyse communication 		
(min 20 marks required from 40 available)	to identify meaning is explained		
Strategies to overcome barriers to mentoring and coaching Identify any potential barriers to coaching and mentoring that may be encountered and suggest strategies for overcoming these (min 8 marks required from 16 available)	 Potential organisational barriers to using coaching and mentoring are identified and appropriate strategies for overcoming them developed 		

 Prepare a business case for using coaching or mentoring in your workplace and suggest how its effectiveness could be evaluated Assess: the contribution coaching and mentoring could make to individuals and the organisation, and its role in relation to alternative developmental and support strategies Prepare a business case for the coaching and/or mentoring programme Suggest ways to evaluate the effectiveness of the coaching or mentoring programme to demonstrate that it has met its goals (min 20 marks required from 40 available) 	 The contribution that management coaching and mentoring can make to individuals and the organisation, and its role in relation to alternative developmental and support strategies is assessed A business case for using coaching and mentoring to address specific developmental and support needs in the organisation is presented How the effectiveness of the proposed coaching and mentoring programme can be evaluated is suggested
By submitting I confirm that this as	ssessment is my own work

MARK SHEET: WORK-BASED ASSIGNMENT: D5.01

Cen	tre Number:	Centre	e Name:			
Learner Registration No:		 Learner named below confirms authenticity of submission. ILM uses learners' submissions – on an anonymous basis – for assessment standardisation. By submitting, I agree that ILM may use this script on condition that all information which may identify me is removed. However, if you are unwilling to allow ILM use your script, please refuse by ticking the box: □ 				at ILM oved.
	Criteria	s	trengths	Areas for Improvement	Assr mark	QA mark
Con	ntext of the assignment					
•	The context is provided				/4 marks (min 2)	
	suring best practice in coaching or				· · · ·	
mer	ntoring practice					
•	The characteristics of effective coaching and mentoring arrangements and plans are identified					
•	The importance of respecting others' ethical and moral views, beliefs, attitudes and values, challenging inappropriate language or behaviour and not abusing own power and authority in a coaching or mentoring relationship is explained					
•	The importance of creating the necessary, safe and confidential conditions for engaging in effective coaching or mentoring and of agreeing the expectations, processes and boundaries of a coaching or mentoring programme with all stakeholders is explained					
•	Why coaches and mentors should develop effective communication skills and be able to analyse communication to identify meaning is explained				/40 marks (min 20)	
	ategies to overcome barriers to ntoring and coaching					
•	Potential organisational barriers to using coaching and mentoring are identified and appropriate strategies for overcoming them developed				/16 marks (min 8)	
coa wor	pare a business case for using ching or mentoring in your kplace and suggest how its ctiveness could be evaluated					
•	The contribution that management coaching and mentoring can make to					
	el 5 Certificate in Coaching and Mentoring in ement Qualification Specification	Γ	© Institute of Lead	ership & Management	t Versio 2011	on: Octob

 individuals and the organisation, and its role in relation to alternative developmental and support strategies is assessed A business case for using coaching and mentoring to address specific developmental and support needs in the organisation is presented How the effectiveness of the proposed coaching and mentoring programme can be evaluated is suggested 		Qualit	y assurance us	/40 marks (min 20) e	
Total marks Outcome (circle as applicable)		Total marks	Outcome (circle as app	Outcome (circle as applicable)	
Total 50 + overall,AND minimum ineach section		Total 50 + overall, AND minimum in each section	PASS/REFEF	RRAL	
Section referral if applicable:		Date of IQA check:			
Name of assessor:		Name of IQA:			
Assessor signature and date:		IQA signature:			
ILM EV signature:		Date externally verified (where applicable):			

COACHING/MENTORING DIARY: D5.02 & 5.03

Centre Number:	Centre Name:
Learner Registration No:	Learner Name:

TASK

Assess your potential as a management coach or mentor, undertake 12 hours coaching or mentoring, and reflect on your performance

NB: You should have a discussion with your coaching supervisor to assist in your performance reflection. You should also collect feedback from those observing your coaching or mentoring sessions. This could

include feedback from your supervisor or peers						
Please use the headings shown below when writin	Assessment Crit	oria				
your assessment		ena				
 Assess own potential strengths and weaknesses management mentor or coach Review how your own ethical and moral views, belief attitudes and values are likely to effect your coaching mentoring behaviour Review how confident your feel about your ability to: address poor or inappropriate attitudes, behaviou and workplace relationships; and communicate effectively when coaching or mento others Review how effective your own networks are in provision support for your coaching and mentoring practice (min 17 marks required from 34 available) 	 attitudes and values and the own coaching and mentoring reviewed Own ability to address poor inappropriate attitudes, beh workplace relationships and own coaching and mentoring reviewed Own ability to communicate when coaching or mentoring reviewed The effectiveness of own ne supporting coaching and mentoring or aching and mentoring 	eir effect on ag practice are or aviours and d its effect on ag practice is effectively g others is etworks in entoring eeds are				
 Maintain a diary to show that you have planned and delivered a minimum of 12 hours' coaching and/or mentoring showing that you have: Identified individual development needs and agr goals for the programme planned individual sessions and organised the materials and resources needed to support them delivered and recorded the coaching reviewed individuals' progress. 	 identified and goals agreed organisational, divisional or A short coaching or mentor in line with these goals is de agreed Individual coaching or mentor 	, in line with team goals ing programme esigned and toring sessions and resources are developed priate ng or mentoring appropriate ds their				
Reflections on your performance as a mentor or coach						
Reflect on and review your performance, informed by						
feedback from participants and observers, where	 Feedback on own coaching practice is collected 	-				
available, with a particular emphasis on how well you	reflected on and reviewed					
assessment of your strengths and weaknesses was	 Own development needs an action planned and taken to 					
confirmed, what you were able to do to overcome any	y coaching or mentoring prac					
weaknesses and build on your strengths and what fur actions you can take to develop yourself further.	ture					
M Level 5 Certificate in Coaching and Mentoring in		Version: October				
lanagement Qualification Specification	© Institute of Leadership & Management	2011				

(min 18 marks required from 36 available)	
By submitting I confirm that this ass	essment is my own work

ILM Level 5 Certificate in Coaching and Mentoring in Management Qualification Specification	© Institute of Leadership & Management	Version: October 2011
--	--	-----------------------

MARK SHEET: COACHING/MENTORING DIARY: D5.02 & 5.03

Centre Number:	Centre Name:			
Learner Registration No:	 Learner named below confirms authenticity of submission. ILM uses learners' submissions – on an anonymous basis – for assessment standardisation. By submitting, I agree that ILM may use this script on condition that all informatio which may identify me is removed. However, if you are unwilling to allow ILM use your script, please refuse by ticking the box: □ 			.M nation
Criteria	Strengths	Areas for Improvement	Assr mark	QA mark
Assess own potential strengths and weaknesses as a management mentor or coach				
 Own ethical and moral views, beliefs, attitudes and values and their effect on own coaching and mentoring practice are reviewed Own ability to address poor or inappropriate attitudes, behaviours and workplace relationships and its effect on own coaching and mentoring practice is reviewed Own ability to communicate effectively when coaching or mentoring others is reviewed The effectiveness of own networks in supporting coaching and mentoring practice is reviewed 			/34 marks (min 17)	
Planning and undertaking coaching sessions				
 Individual developmental needs are identified and goals agreed, in line with organisational, divisional or team goals A short coaching or mentoring programme in line with these goals is designed and agreed Individual coaching or mentoring sessions are planned and materials and resources to support these sessions are developed and prepared, where appropriate At least 12 hours of coaching or mentoring sessions are delivered and appropriate records are maintained Individuals' progress towards their coaching or mentoring goals is reviewed 			/30 marks (min 15)	

ILM Level 5 Certificate in Coaching and Mentoring in Management Qualification Specification	© Institute of Leadership & Management	Version: October 2011

 Reflections on your performance as a mentor or coach Feedback on own coaching or mentoring practice is collected Own coaching or mentoring practice is reflected on and reviewed Own development needs are identified and action planned and taken to improve own coaching and mentoring practice 				/36 marks (min18)	
Assessor's decision		Quality assurance use			
Total marks	Outcome (circle as applicable)	Total marks	Outcome (circle as applica	Outcome (circle as applicable)	
Total 50 + overall, AND minimum in each section	PASS/REFERRAL	Total 50 + overall, AND minimum in each section	PASS/REFERRAL		
Section referral if applicable:		Date of IQA check:			
Name of assessor:		Name of IQA:			
Assessor signature and date:		IQA signature:			
ILM EV signature:		Date externally verified (where applicable):			

ILM Level 5 Certificate in Coaching and Mentoring in	© Institute of Leadership & Management	Version: October
Management Qualification Specification		2011